

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
AS ON FINANCIAL YEAR ENDED ON 31-03-2021

[Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

i.	CIN	L51221DL1985PLC022433
ii.	Registration Date	04-11-1985
iii.	Name of the Company	Sonal Mercantile Limited
iv.	Category-Sub-Category of the Company	Public Company -Limited By Shares
v.	Address of the Registered office and contact details	365, Vardhman Plaza, III Floor, Sector-3, Rohini, New Delhi-110085 Tel. No. 011-49091417 Email: sonalmercantile@yahoo.in Website: www.sonalmercantile.in
vi.	Whether listed company	Yes (Listed on BSE Limited and DSE)
vii.	Name, Address and Contact details of Registrar and Transfer Agent	Contact Officer : Mr. Virender Rana Skyline Financial Services Private Limited SEBI Regn. No.: INR000003241 D-153 A, 1st Floor, Okhla Industrial Area, Phase - I, New Delhi - 110 020. Tel No.: +91-11- 26812682- 011-40450193 Fax No.: +91-11-26812683 Email id.: viren@skylinerta.com - admin@skylinerta.com Website : www.skylinerta.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sr. No.	Name and Description of main products- services	NIC Code of the Product- service	% to total turnover of the company
1	Non-Banking Financial Services	649	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	Name And Address Of The Company	CIN-GLN	Holding-Subsidiary -Associate	% of shares held	Applicable Section
1.	RUDRAVEERYA DEVELOPERS LIMITED 375, TF Block-B, Plot No. 7, Vardhman Grand Plaza, Mangalam Place, Near M2K, Sector-3, Rohini, New Delhi	U70109DL2016PLC304243	Associate	50	2(6)

IV. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)

i. Category-wise Share Holding:

A) Category-wise Share Holding		Shares Held at beginning of the Year 31-03-2020				Shares Held at the End of the Year 31-03-2021				% Change During The Year
S.No.	Category of Shareholders	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A	Promoters									
1	Indian									
a)	Individual HUF	0	0	0	0.00	0	0	0	0.00	0.00
b)	Central Govt.	0	0	0	0.00	0	0	0	0.00	0.00
c)	State Government	0	0	0	0.00	0	0	0	0.00	0.00
d)	Bodies Corporate	3672396	0	3672396	24.92	3672396	0	3672396	24.92	0
e)	Banks-FI	0	0	0	0.00	0	0	0	0.00	0.00
f)	Any Other	0	0	0	0.00	0	0	0	0.00	0.00
	Sub-Total (A)(1)	3672396	0	3672396	24.92	3672396	0	3672396	24.92	0
2	Foreign									
a)	NRI Individuals	0	0	0	0.00	0	0	0	0.00	0.00
b)	Other Individuals	0	0	0	0.00	0	0	0	0.00	0.00
c)	Bodies Corporate	0	0	0	0.00	0	0	0	0.00	0.00
d)	Banks –FI	0	0	0	0.00	0	0	0	0.00	0.00
e)	Any Other	0	0	0	0.00	0	0	0	0.00	0.00
	Sub-Total (A)(2)	0	0	0	0.00	0	0	0	0.00	0.00
	Total Shareholding of Promoters(A)	3672396	0	3672396	24.92	3672396	0	3672396	24.92	0
B	Public Shareholding									
1	Institutions									
a)	Mutual Funds	0	0	0	0.00	0	0	0	0.00	0.00
b)	Banks-FI	0	0	0	0.00	0	0	0	0.00	0.00
c)	Central Government	0	0	0	0.00	0	0	0	0.00	0.00
d)	State Government	0	0	0	0.00	0	0	0	0.00	0.00
e)	Venture Capital Fund	0	0	0	0.00	0	0	0	0.00	0.00
f)	Insurance Companies	0	0	0	0.00	0	0	0	0.00	0.00
g)	FIIIs	0	0	0	0.00	0	0	0	0.00	0.00
h)	Foreign Venture Capital Fund	0	0	0	0.00	0	0	0	0.00	0.00
i)	Any Other Foreign	0	0	0	0.00	0	0	0	0.00	0.00
j)	Any Other	0	0	0	0.00	0	0	0	0.00	0.00
	Sub-Total (B)(1)	0	0	0	0.00	0	0	0	0.00	0.00
2	Non-Institutions									
a)	Bodies Corporate									
1)	Indian	7526393	0	7526393	51.07	6856440	0	6856440	46.52	-4.55
2)	Overseas	0	0	0	0.00	0	0	0	0.00	0.00
b)	Individuals									
1)	Individual shares holders having nominal share capital upto Rs. 1,00,000	250387	113334	363721	2.47	266232	113334	379566	2.58	0.11
2)	Individual shares holders having nominal share capital Excess of Rs. 1,00,000	2630792	202054	2832846	19.22	2604047	113000	2717047	18.43	-0.79
c)	Others									
a)	HUF	342769	0	342769	2.33	1111858	0	1111858	7.54	5.21
b)	Non Resident Indian	0	0	0	0.00	0	0	0	0.00	0.00
c)	Foreign National	0	0	0	0.00	0	0	0	0.00	0.00
d)	Clearing Members	400	0	400	0.00	1115	0.00	1115	0.01	0.01
e)	Trust	0	0	0	0.00	0	0	0	0.00	0.00

f)	Foreing Bodies-DR	0	0	0	0.00	0	0	0	0.00	0.00
g)	NBFC Registered With RBI	0	0	0	0.00	0	0	0	0.00	0.00
	Sub-Total (B)(2)	10750741	315388	11066129	75.08	10839795	226334	11066129	75.08	0.00
	Total Public Shareholding (B)	10750741	315388	11066129	75.08	10839795	226334	11066129	75.08	0.00
C)	Shares Held By Custodian for GDRs & ADRs	0	0	0	0.00	0	0	0	0.00	0.00
D)	IEPF	0	0	0	0.00	0	0	0	0.00	0.00
	Grand Total	14423137	315388	14738525	100	14512191	226334	14738525	100	0.00

(ii) Shareholding of Promoters:

Sr. No	Shareholder's Name	Shareholding at the beginning of the year (01/04/2020)			Shareholding at the end of the year (31-03-2021)			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged - encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged - encumbered to total shares	
1.	Vikram Goyal	0	0	0	0	0	0	0
2.	Rajan Goyal	0	0	0	0	0	0	0
3.	M/s Ram Gopal Goyal & Sons LLP	3672396	24.92	0	3672396	24.92	0	0
	Total	3672396	24.92	0	3672396	24.92	0	0

(iii) Change in Promoters' Shareholding:

Sr. no	Name	Shareholding at the beginning of the year (as on 01-04-2020)		Date	Increase- Decrease in share- holding	Reason	Cumulative Shareholding during the year (01-04-2020 to 31-03-2021)	
		No. of shares	% of total shares of the company				No. of shares	% of total shares of the company
1	Ram Gopal Goyal & Sons LLP	3672396	24.92	-	-	-	3672396	24.92

iv. Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl.no.	Name & Type of Transaction	Shareholding as on 31-03-2020		Transactions during the year 2020-21		Cumulative shareholding as on 31-03-2021	
		No. of shares held	% of total shares of the company	Date of transaction	No. Of shares	No of shares held	% of total shares of the company
1	RUDRAVEERYA DEVELOPERS LIMITED	3653060	24.79				
	Sale			08-May-2020	-2000	3651060	24.77
	Sale			22-May-2020	-600	3650460	24.77
	Sale			29-May-2020	-2250	3648210	24.75
	Sale			05-Jun-2020	-15750	3632460	24.65
	Purchase			11-Sep-2020	50	3632510	24.65
	Purchase			18-Sep-2020	75	3632585	24.65
	Purchase			25-Sep-2020	25	3632610	24.65
	Balance					3632610	24.65
3	ORION PROMART PRIVATE LIMITED	1201261	8.15				
	Purchase			05-Jun-2020	227732	1428993	9.70
	Purchase			31-Dec-2020	1428993	1517926	10.30
	Balance					1517926	10.30
3	ORION INFRADEVELOPERS PRIVATE LIMITED	1174757	7.97				
	Purchase			05-Jun-2020	142000	1316757	8.93
	Balance					1316757	8.93
4	ARVIND KUMAR BANSAL HUF	0	0				
	Purchase			31-Jul-2020	765625	765625	5.19
	Balance					765625	5.19
5	NISHA AGARWAL	25000	0.17				
	Purchase			21-Aug-2020	390000	415000	2.82
	Balance					415000	2.82

6	TIA ENTERPRISES PRIVATE LIMITED	158000	1.07				
	Purchase			26-Jun-2020	126233	284233	1.93
	Purchase			03-Jul-2020	4996	289229	1.96
	Purchase			10-Jul-2020	10000	299229	2.03
	Balance					299229	2.03
7	RADHA DEVI PODDAR	275000	1.87				
	Balance					275000	1.87
8	POOJA SINGLA	62304	0.42				
	Purchase			17-Apr-2020	35214	97518	0.66
	Purchase			01-May-2020	42000	139518	0.95
	Purchase			15-May-2020	995	140513	0.95
	Purchase			22-May-2020	600	141113	0.96
	Purchase			29-May-2020	1200	142313	0.97
	Balance					142313	0.97
9	LILA DHAR GOYAL	141000	0.96				
	Balance					141000	0.96
10	MANJU SINGLA	139400	0.95				
	Balance					139400	0.95

v. **Shareholding of Directors and Key Managerial Personnel (KMP):**

Sr. no	Name	Shareholding at the beginning of the year (as on 01-04-2020)		Date	Increase- Decrease in share- holding	Reason	Cumulative Shareholding during the year (01-04-2020 to 31-03-2021)	
		No. of shares	% of total shares of the company				No. of shares	% of total shares of the company
A.	Directors:							
1	Vikram Goyal Whole Time Director (KMP)	0	0	01-04- 2020	0	Nil movement during the year		
		0	0	31-03- 2021			0	0
2	Rajan Goyal (Non- executive Non Independent Director)	0	0	01-04- 2020	0	Nil movement during the year		
		0	0	31-03- 2021			0	0
3	Rati Goswami	0	0	01-04- 2020	0	Nil movement		

	(Independent Director)	0	0	31-03-2021		during the year	0	0
4	Pardeep Kumar (Independent Director)	0	0	01-04-2020	0	Nil movement during the year		
		0	0	31-03-2021			0	0
5	Munish Kumar Garg (Independent Director)	0	0	01-04-2020	0	Nil movement during the year		
		0	0	31-03-2021			0	0
6	Deepak (Independent Director)	27000	0.18	01-04-2020	0	Nil movement during the year		
		27000	0.18	31-03-2021			0	0
B. Key Managerial Personnel(KMP's):								
1	Rohit Saraogi (Chief Financial Officer)	0	0	0	0	0	0	0
2	Kush Mishra (Company Secretary)	0	0		0		0	0

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding-accrued but not due for payment – Nil

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and-or Manager – NIL

B. Remuneration to other directors: - NIL

C. Remuneration to Key Managerial Personnel Other Than MD-Manager-WTD:

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO	Total
1.	Gross salary: (a)Salary as per provisions contained in section17(1) of the Income-tax Act,1961 (b)Value of perquisites u-s 17(2)Income-tax Act,1961 (c)Profits in lieu of salary under section 17(3) Income-tax Act,1961	-	1,65,950	6,00,000	7,65,950
2.	Stock Option	-	-	-	-
3.	Sweat Equity	-	-	-	-
4.	Commission - as% of profit -others, specify...	-	-	-	-
5.	Others, please specify	-	-	-	-
	Total	-	1,65,950	6,00,000	7,65,950

VII. PENALTIES- PUNISHMENT- COMPOUNDING OF OFFENCES: - NIL

**For and on Behalf of the Board
Sonal Mercantile Limited**

**Date: August 31, 2021
Place: New Delhi**

**Vikram Goyal
Whole Time Director
DIN: 00381115**

**Rajan Goyal
Director
DIN: 02600825**

Statement of Particulars of Employees pursuant to the Provisions of Rule 5 (1) of the Companies (Appointment and Remuneration of Managerial Personnel) Rules 2014

1. **The ratio of the remuneration of each Director to the median remuneration of the employee for the financial year**

The Directors of the Company are not drawing any remuneration from the Company hence the ratio of the remuneration of each Director to the median remuneration of the employee for the financial year is not applicable.

2. **The percentage increase in remuneration of each director, Chief Financial Officer, Company Secretary in the financial year;**

S. No.	Name	Designation	% Increase
1	Mr. Kush Mishra (13.06.2020 – 16.12.2020)	Company Secretary	0
2	Mr. Rohit Saraogi	Chief Financial Officer	0

3. **The percentage increase in the median remuneration of employees in the financial year;**

The median remuneration of the employees in the financial year increased by 30.00 %. The calculation of % increase in Median Remuneration is done based on overall employee cost.

4. **Number of Permanent Employees on the rolls of Company: Five (5)**

5. **Average percentile increase already made in the salaries of employees other than the managerial personnel in the last financial year and its comparison with the percentile increase in the managerial remuneration and justification thereof and point out if there are any exceptional circumstances for increase in the managerial remuneration;**

During the financial year, there was neither instance of drawing remuneration by managerial personnel nor increase in remuneration of CFO and Company Secretary. The average increase for employees other than the managerial personnel who were in the employment during the financial year 2019-20 and 2020-21 the average percentile increase is 0.00%. The average percentile increase for managerial personnel is 0.00%.

6. **Affirmation that the remuneration is as per the remuneration policy of the Company**

The remuneration paid is in accordance with the remuneration policy of the Company.

7. **Statement of particulars of employees pursuant to Rule 5((2) Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 – N.A.**

8. **Statement of particulars of employees pursuant to Rule 5 (3) Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014- N.A.**

**For and on Behalf of the Board
Sonal Mercantile Limited**

Date: August 31, 2021
Place: New Delhi

Vikram Goyal
Whole Time Director
DIN: 00381115

Rajan Goyal
Director
DIN: 02600825